Chapter 2 Hydrodynamic lubrication (HL) Lubrification hydrodynamique

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Lubricated friction Frottement en contact lubrifié



Tomb of Djehutihotep (al berscheh) 172 slaves, 60 t stone statue approx. 4000 years old

Lubricated friction Frottement en contact lubrifié



Lubricant functions

Fonctions des lubrifiants

- Separate rubbing surfaces by a low shear strength film \Rightarrow low friction/wear
- Evacuate heat
 - \Rightarrow avoid scuffing
- Evacuate wear particles (cleaning)
 - \Rightarrow low friction/wear
- Transport additives into contact (high pressure zone) \Rightarrow low friction
- Additives as anti-rust, anti-oxidant





Macroscopic explanation

Low speed Contact Static "dry" friction (high)







- Very low speed: boundary lubrication
 μ = const and high ("dry" friction).
- Low speed: mixed lubrication
 µ = medium to low and decreases with increasing velocity.
- High speed: full film regime

 μ = very low and slowly increases with increasing velocity.

$$\mu = f(\frac{\eta u_s}{W})$$

High speed = high viscosity = low load Low speed = low viscosity = high load





Left to right: Boundary lubrication, mixed lubrication, full film lubrication each regime determined by the distance separating the solid bodies: the **lubricant film thickness** *h*.

Recap



Boundary lubrication:

friction coefficient is constant and high,

load is carried by direct metal to metal contact,

wear can be high depending on additives present in the oil

 $h < R_a$

Mixed Iubrication

friction is relatively high, decreasing with velocity,

load is partially carried by the fluid film, partially by metal-metal contact, wear can be substantial

 $h \approx R_a$

Full film lubrication

friction coefficient is very low and slowly increasing with speed, friction is not modified by additives, wear is (virtually) zero $h > R_a$

Conclusions

For most engineering applications low friction and zero wear are required \Rightarrow full film lubrication

In the mixed lubrication regime, friction and wear can be made acceptable by choosing appropriate additives in the lubricant.

Reynolds equation

The Reynolds equation is derived from the Navier-Stokes equation for a slow viscous flow, using the simplification *h* << *R* (small film thickness) (for mathematicians: asymptotic expansion, for fluid physicists: dimensional analysis, or: with sensible assumptions on the solution)

1D Reynolds equation



1D Reynolds equation

Simplified geometry



Mass conservation



Different flows Différents types d'écoulement



1D Reynolds equation

$$\frac{\partial}{\partial x} \left(\frac{\rho h^3}{12\eta} \frac{\partial p}{\partial x} \right) = \rho \frac{u_1 - u_2}{2} \frac{\partial h}{\partial x} + h \frac{\partial}{\partial x} \left(\rho \frac{u_1 + u_2}{2} \right) + \rho v_2 + h \frac{\partial \rho}{\partial t}$$

or

$$\frac{\partial}{\partial x} \left(\frac{\rho h^3}{12\eta} \frac{\partial p}{\partial x} \right) = \frac{\partial}{\partial x} \left(\rho h \frac{u_1 + u_2}{2} \right) + \frac{\partial}{\partial t} \left(\rho h \right)$$

Note that this is non linear mechanics! large influence of film thickness with h^3

1D Reynolds equation

Simpler case: stationary conditions $(\partial/\partial t = 0)$, 1D form reads

$$\frac{\partial}{\partial x} \left(\frac{\rho h^3}{12\eta} \frac{\partial p}{\partial x} \right) = \frac{\partial}{\partial x} \left(\rho h \frac{u_1 + u_2}{2} \right) + \frac{\partial}{\partial t} \left(\rho h \right)$$

integrating once:

$$\frac{dp}{dx} = 6\eta(u_1 + u_2)\frac{h - h^*}{h^3}$$





Fig. 4.3 Tilting pad thrust bearing (NEYRPIC)

(Slimline)



FIGURE 4.8 Example of a pad bearing application to sustain the thrust loads from the ship propeller shaft.

Simplified geometry



Fig. 4.1 Plane slider scheme

1D problem Stationary problem $(\partial/\partial t = 0)$ Inside gap, $\partial h / \partial x = \text{constant}$ Density and viscosity are assumed constant $u_1 = U, u_2 = 0$



Results



Fig. 3.13. Pressure distribution for various ratios $a = h_1 / h_2$

Physical analysis Analyse physique

Analyzing the Couette flow: much more oil flows into the wedge than what flows out.

This leads to pressure generation, to restore the flow balance.



Reynolds equation (2D)

Trivial generalization:

$$\frac{\partial}{\partial x} \left(\frac{\rho h^3}{12\eta} \frac{\partial p}{\partial x} \right) + \frac{\partial}{\partial y} \left(\frac{\rho h^3}{12\eta} \frac{\partial p}{\partial y} \right) =$$

$$\rho \frac{u_1 - u_2}{2} \frac{\partial h}{\partial x} + \rho \frac{v_1 - v_2}{2} \frac{\partial h}{\partial y} + h \frac{\partial}{\partial x} \left(\rho \frac{u_1 + u_2}{2} \right) + h \frac{\partial}{\partial y} \left(\rho \frac{v_1 + v_2}{2} \right) + \rho w_2 + h \frac{\partial \rho}{\partial t}$$
or

$$\frac{\partial}{\partial x} \left(\rho h \frac{u_1 + u_2}{2} \right) + \frac{\partial}{\partial y} \left(\rho h \frac{v_1 + v_2}{2} \right) + \frac{\partial}{\partial t} \left(\rho h \right)$$

(more terms, but same usage)

Reynolds equation: summary Equation de Reynolds : résumé

- The Reynolds equation describes the mass flow continuity in the thin full film regime.
- It relates the geometry h(x,y) to the pressure distribution p(x,y) using the contact conditions (u₁, u₂ & W) and the lubricant properties (viscosity η and density ρ).

In the given form, still valid if η and ρ are not constant... But one has to add constitutive relations! Lubricant properties Propriétés des lubrifiants

The majority of lubricants are liquids, but two other subgroups exist:

- gas (air...)
- solids (coatings, MoS₂...)

In general though, liquids lubricate the majority of technical applications, gasses and solids are used as "niche" lubricants.

A special sub group is formed by greases which are semisolids: lubricant + 10 to 20% thickener (see later on).

From now on if we say lubricant we mean liquid.

Example of lubricant functions Exemples de fonctions réalisées par un lubrifiant

In an engine the lubricant:

- lubricates: piston ring, bearings, valves...
- cleans: piston ring, valves
- protects: (anti-rust)
- removes: varnish, soot and sludge
- protects: seals
- all this for many hours & km's (anti-oxidant)

Lubricants consist of:

- a base oil (mineral or synthetic 80 to 95%)
- an additive package

Lubricant base oil Huile de base

The base oil provides the lubricant with its physical lubrication properties based on:

- viscosity $\boldsymbol{\eta}$
- density p

The base oil origin is either mineral (of petrol origin) or synthetic (mono-molecular origin) such as PAO's, polyglycols...

Lubricant additives Additifs

In order to improve the lubricating performance additives (chemicals) are added:

- extreme pressure
- anti-wear
- VI improvers
- detergents
- dispersants
- emulsifiers
- anti-oxidants
- anti-foam

Apparent area of contact Real area of contact Absorbed films Oxides

- etc.

Base oil properties Propriétés de l'huile de base

The lubrication properties depend on:

- viscosity (pressure, temperature, shear rate)
- density (pressure, temperature)

Viscosity – pressure

Viscosité – pression

p / MPa	0.1	1.0	10	20	50	100
η / Pa.s	0.050	0.051	0.061	0.075	0.136	0.369

Viscosity η as a function of pressure p

1



$$\eta(p) = \eta_0 e^{\alpha p}$$
 (Barus)

Viscosité – température Viscosité – température

<i>T</i> / °C	25	50	75	100	125	150
η / Pa.s	0.050	0.021	0.011	0.0064	0.0043	0.0031

Viscosity η as a function of temperature *T*





Viscosité – température : index de viscosité

The viscosity index proposed in 1929 is still widely used, it gives an indication of the viscosity temperature relation using two reference oils with the same viscosity at 100°C (*P*), these oils have a viscosity *H* and *L* at 40°C, while our oil has a viscosity of *U* at 40°C.

$$V.I.=100\frac{L-U}{L-H}$$

Viscosité – température : index de viscosité





Viscosité – taux de cisaillement

γ / s ⁻¹	10 ³	104	10 ⁵	10 ⁶	10 ⁷	10 ⁸
η / Pa.s	0.050	0.050	0.050	0.030	0.010	0.010

Viscosity η as a function of shear rate γ



Viscosity – shear rate: Example Exemple de dépendance viscosité – taux de cisaillement

Velocity difference $\Delta u = u_2 - u_1 = 1$ m/s Film thickness $h = 1 \ \mu m = 10^{-6}$ m Shear rate = $\Delta u / h = 10^{+6} \text{ s}^{-1}$
Density – pressure Masse volumique – pression

p / MPa	0.1	1.0	10	20	50	100
ր / kg/m ³	800	800	805	809	821	839

Density ρ as a function of pressure *p*

$$\rho(p) = \rho_0 \frac{0.5910^9 + 1.34 \, p}{0.5910^9 + 1.0 \, p} (\text{Dowson-Higginson})$$

Beware that this one is not a physical law expression: It requires p to be in Pa...

Density – temperature Masse volumique – température

Thermal (volume) dilatation coefficient
$$\alpha$$

 $\Delta V / V = \alpha \Delta T$
 $\rho = m / V, m = \text{cst}$
 $\Delta \rho / \rho \approx -\Delta V / V = -\alpha \Delta T$

For mineral oils, $\alpha \approx 6 \; 10^{-4} \; \text{K}^{-1}$

Conclusion

Hydrodynamic lubrication:

- Viscosity is (almost) constant with pressure,
- Viscosity is very dependent on temperature,
- Viscosity is independent of shear rate (except at very high shear rates)
- Density is (almost) constant with pressure,
- Density is (almost) constant with temperature

Full set of equations *Jeu complet d'équations* Reynolds equation $\frac{\partial}{\partial x} \left(\frac{\rho h^3}{12\eta} \frac{\partial p}{\partial x} \right) + \frac{\partial}{\partial y} \left(\frac{\rho h^3}{12\eta} \frac{\partial p}{\partial y} \right) = \frac{\partial}{\partial x} \left(\rho h \frac{u_1 + u_2}{2} \right) + \frac{\partial}{\partial y} \left(\rho h \frac{v_1 + v_2}{2} \right) + \frac{\partial}{\partial t} \left(\rho h \right)$

Geometry & kinematics $h(x,y,t), u_1(x,y,t), u_2(x,y,t)$

Boundary conditions (problem dependant)

Constitutive relations $\eta(p,T,\gamma), \rho(p,T)$

and... $p \ge 0$

Physical explanation Explication physique

Liquids can not endure tension (negative pressure): they evaporate...

The minimum pressure a fluid can sustain is called the vapor pressure

The vapor pressure is small compared to the hydrodynamic pressures (and is set to zero) The phenomenon is called "cavitation"





In reality...

- The cavitation phenomenon is (of course) much more complicated...
- It is a free boundary problem, where the position of the free boundary is determined by the kinematic conditions and the surface tension of the liquid.

In reality...



0 Hz 10 Hz 30 Hz 40 Hz 60 Hz

After http://phn.tamu.edu/TRIBGroup/ Rotordynamics Laboratory, Texas A&M University Physical conditions Conditions à la frontière

At the cavitation boundary the pressure gradient normal to the boundary has to be zero:

$$\frac{\partial p}{\partial n} = 0$$

in order to satisfy mass flow continuity!

Physical conditions Conditions à la frontière

- As a result the position of the (free) boundary is difficult to predict.
- As a general rule one can say that it is beyond the point where zero pressure occurs in the non-cavitating case.
- Hence boundary conditions are generally chosen to "fit" the geometry to limit this problem.
- Numerically this problem can be solved; however, it remains a "research" problem

Example: Step bearing Exemple : palier à saut



Example: Step bearing Exemple : palier à saut

Gluing the two sub-problems:

- Equilibrium: same pressure p^*
- Mass conservation: same global flow rate q



Example: Step bearing Exemple : palier à saut





Example: Step bearing Exemple : palier à saut This is a unique curve.

Check it with dimensional analysis.

The optimum load carrying capacity is obtained for $a = h_1 / h_2 \approx 2.2$. Can this be obtained for different conditions (such as velocity *u*)?

What happens to W if one

- Doubles the velocity *u*
- Doubles the length *B*
- Halves the height h₂, keeping a constant



Example: Step bearing pump Exemple : pompe à palier à saut

Gluing the three sub-problems:

- Equilibrium: same pressure p_0
- Mass conservation: $q_{I} = q_{II} + q_{III}$



Example: Step bearing pump Exemple : pompe à palier à saut



Slider bearing conclusion Conclusions sur les paliers à glissement

Load carrying capacity generated by a converging gap associated with a velocity.

Load carrying capacity proportional to the viscosity · velocity product.

Load carrying capacity inversely proportional to the gap height (h^{-2}) .

Example: Journal bearing Exemple : palier lisse



Example: Journal bearing Exemple : palier lisse



Operation Fonctionnement

Start-up







Explain!

Geometry

Real

Simplified





c: clearance e: eccentricity h = h

$$\varepsilon = \frac{n_{\max} - n_{\min}}{h_{\min} + h_{\min}} = \frac{e}{c}$$

Infinitely long bearing Palier infiniment long

> 1D Reynolds Stationary

$$\frac{\partial}{\partial x} \left(\frac{\rho h^3}{12\eta} \frac{\partial p}{\partial x} \right) = \frac{\partial}{\partial x} \left(\rho h \frac{u_1 + u_2}{2} \right) + \frac{\partial}{\partial t} \left(\rho h \right)$$

Constant viscosity and density $u_1 = R \omega_1$ $u_2 = R \omega_2$ $\frac{d}{dx} \left(h^3 \frac{dp}{dx} \right) = 12\eta R \frac{\omega_1 + \omega_2}{2} \frac{dh}{dx}$

$$x = R \theta \qquad \frac{d}{d\theta} \left(h^3 \frac{dp}{d\theta} \right) = 12 \eta R^2 \frac{\omega_1 + \omega_2}{2} \frac{dh}{d\theta}$$

and boundary conditions:

Problem	equation	solution	
$\begin{array}{c c} & & & \\ \hline \\ \hline$	1D Reynolds without cavitation	Sommerfeld	
θ	id + cut off negative pressure	Gümbel	
θ θ θ θ θ θ θ θ θ θ	id + free surface for cavitation	Reynolds	

Sommerfeld solution

It is possible to solve the infinitely long Reynolds equation analytically: $\frac{d}{d\theta} \left(h^3 \frac{dp}{d\theta} \right) = 6\eta R^2 \omega \frac{dh}{d\theta} \qquad h(\theta) = c \left(1 + \varepsilon \cos \theta \right)$

using the following transformation: $1 + \varepsilon \cos \theta = \frac{1 - \varepsilon^2}{1 - \varepsilon \cos \Psi}$

The resulting pressure distribution is:

$$p(\psi) = \frac{6 \eta \omega (R/c)^2}{(1-\varepsilon^2)^{3/2}} \left\{ \psi - \varepsilon \sin \psi - \frac{2\psi - 4 \varepsilon \sin \psi + \varepsilon^2 \psi + \varepsilon^2 \sin \psi \cos \psi}{2+\varepsilon^2} \right\}$$

Sommerfeld pressure – load relation Relation pression – chargement

A loaded (W, per unit width) journal bearing will have an eccentricity ε at an angle Φ with respect to the load, given by the following integrals:

$$W\cos\phi + \int_{0}^{2\pi} p(\theta)\cos\theta \, Rd\theta = 0$$
$$-W\sin\phi + \int_{0}^{2\pi} p(\theta)\sin\theta \, Rd\theta = 0$$



Sommerfeld load carrying capacity Capacité de charge

one finds:
$$W = 12 \eta \omega \frac{R^3 L}{c^2} \frac{\pi \varepsilon}{(2 + \varepsilon^2)\sqrt{1 - \varepsilon^2}}$$

 $W \propto (R/c)^2$ What is the limit for $\varepsilon \rightarrow 0$? Explain!

Sommerfeld frictional torque Couple de frottement

Integrating the shear stress:
$$C_a = L \int_0^{2\pi} R \tau_{xy} (\theta, z = h) R d\theta$$

Shear stress: $\tau_{xy} = \eta \frac{du}{dz}$
Fluid velocity: $u(z) = \frac{1}{2\eta} \frac{dp}{dx} z (z - h) + \frac{R\omega z}{h}$

Sommerfeld frictional torque Couple de frottement

Frictional torque on the shaft:

$$C_{a} = \frac{4\eta\omega R^{3}L\pi}{c} \left[\frac{1+2\varepsilon^{2}}{\left(2+\varepsilon^{2}\right)\sqrt{1-\varepsilon^{2}}} \right]$$

 $C_a \propto (R/c)$ What is the value of C_a when $\varepsilon = 0$? Explain the result! What is the value of C_a when $\varepsilon \rightarrow 1$? Explain the result!

Gümbel solution



The frictional torque is:

$$C_{a} = \frac{\pi \eta \omega L R^{3}}{c \sqrt{1 - \varepsilon^{2}}} \left\{ \frac{2 + \varepsilon}{1 + \varepsilon} + \frac{3\varepsilon^{2}}{2 + \varepsilon^{2}} \right\}$$

Reynolds solution



Even more intricate, but still analytical.

One can get the expressions of load, angle, frictional torque as well.

Models comparison – load Comparaison de modèles - chargement



Models comparison – friction torque Comparaison de modèles – couple de frottement



Infinitely short bearing Palier infiniment court

$$\frac{1}{R^{2}}\frac{\partial}{\partial\theta}\left(h^{3}\frac{\partial p}{\partial\theta}\right) + \frac{\partial}{\partial y}\left(h^{3}\frac{\partial p}{\partial y}\right) = 6\eta\omega\frac{dh}{d\theta}$$
$$p(\theta, y) = -\frac{3\eta\omega}{c^{2}}\left(y^{2} - \frac{L^{2}}{4}\right)\frac{\varepsilon\sin\theta}{(1 + \varepsilon\cos\theta)^{3}}$$
$$W = \eta LR\omega\left(\frac{L}{D}\right)^{2}\left(\frac{R}{c}\right)^{2}\frac{\varepsilon}{(1 - \varepsilon^{2})^{2}}\sqrt{16\varepsilon^{2} + \pi^{2}(1 - \varepsilon^{2})^{2}}$$

$$\phi = \arctan(\frac{\pi}{4} \frac{\sqrt{1 - \epsilon^2}}{\epsilon})$$

Finite length bearings Palier de largeur intermédiaire

$$\frac{\partial}{\partial x} \left(h^3 \frac{\partial p}{\partial x} \right) + \frac{\partial}{\partial y} \left(h^3 \frac{\partial p}{\partial y} \right) = 6\eta R \omega \frac{\partial h}{\partial x}$$

\Rightarrow Numerical solution is necessary

Finite length bearings Palier de largeur intermédiaire

Influence of the width L



3
Results in tables Résultats tabulés

Dimensionless frictional torque $\overline{C}_a = \frac{C_a}{\eta L D \omega R^2 / c} = \frac{1}{S} \frac{R}{c} f_a = \frac{f}{S}$ Dimensionless flow $\overline{Q} = \frac{Q}{L c \omega R}$

as a function of the dimensionless Sommerfeld number

$$S = \frac{\eta L R \omega}{\pi W} \left(\frac{R}{c}\right)^2$$

Example

Viscosity Density Specific heat Length Radius Clearance Load Angular velocity

Sommerfeld number L/D = 1

 $\eta = 0.015 Pa.s$ $\rho = 860 \text{ kg/m3}$ $C_{P} = 2\ 000\ J/kg/^{\circ}C$ L = 100 mmR = 50 mmc = 0.075 mm $W = 50\ 000\ N$ $\omega = 50 \text{ rev/s}$

$$S = \frac{\eta L D \omega}{W} \left(\frac{R}{c}\right)^2 = 0.0666$$

Example

				0,6	0,7	0,8	0,9	
3	0,1	0,2	0,3	ç120	0,0776	0,0443	0,0185	0,95
S	1,33	0,631	0,388	0,	44 36	0	,00831	
ф	79,5	74	68	0,5		36	26	19
$\frac{R}{C} f_a$	25,36	11,87	7,35	⁵ 1.70	1.99	1.40	0.859	0,563
Q/(LCV)	0,0801	0,159	0,237	0,	New York Constraints	0,721	0,721	
C _a	19,06	18,81	18,94	¹ ,466	0,542	0,616	0,688	67,75
				2,5	25,64	31,6	46,43	

Example

Interpolating, one finds

- relative eccentricity: $\varepsilon = 0.733$
- angle: $\phi = 41.3^{\circ}$
- friction number: $f_a \frac{R}{C} = 1.794$
- dimensionless moment: $\overline{C}_a = 27.63$

From these values one deduces the dimensional values

- $h_{\min} = c(1 \varepsilon) = 20 \ \mu m$
- $C_a = 6.9 \text{ Nm}$
- $Q = 67 \ 10^{-6} \ \text{m}^3/\text{s}$

Journal bearings conclusion Conclusions pour les paliers lisses

- Analytical solutions for ∞ long and ∞ short bearings only
- Bearing characteristics for other bearing dimensions in tables
- Tables based on dimensionless numbers, provide eccentricity, friction, flow rate...
- Careful with grooved bearings.